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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ron Dembo, et al.  
Appl. No : 09/811,684  
Filed : March 20, 2001  
Title : Risk Management System, Distributed  
Framework and Method  
Grp./A.U. : NYA  
Examiner : NYA  
Docket No. : 11483-98

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Honorable Commissioner of Patents  
Washington, D.C.  
20231

[This application is a continuation of  
Appl. No. 09/323,680, filed June 2, 1999]

## PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, kindly amend the above-identified application as follows:

**In the specification:**

Please replace the first sentence of the paragraph starting at page 8, line 19, with the following:

AI -- Figure 4 shows a possible output of the process of Figure 3, namely a distribution plot of portfolio P's monetary value versus its probability of occurring. --

**In the drawings:**

Please replace Figure 4 with the attached revised Figure 4.